



X1114/20006

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REFUND SECTION, ACCOUNTING DIVISION, OFFICE OF FINANCE**

Applicant : YANG T. SHIEH  
Serial No. : 09/723,697  
Filed : November 28, 2000  
Group Art Unit : 1733  
Confirmation No. : 7614  
Customer No. : 03000  
For : A COVERED ROLL HAVING AN UNDER-LAYER  
FORMED OF RESIN INFUSED DENSELY PACKED  
FIBERS THAT PROVIDES INCREASED STRENGTH  
AND ADHESION PROPERTIES

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**REQUEST FOR REFUND UNDER 1027 O.G. 115**

Commissioner for Patents,  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division,  
Office of Finance

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Sir:

Applicant hereby requests a refund of one-half of the filing fee paid in regard to the above-captioned application, reflecting the reduction to which Applicant is entitled by virtue of its small entity status. Attached to this Request are copies of the required Verified Statement Claiming Small Entity Status for the Independent Inventor and the Verified Statement Claiming Small Entity Status for the Small Business Concern.



Please credit applicant's attorneys' Deposit Account No. 03-0075 in the amount of \$409.00. A duplicate copy of this Request is enclosed.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

By

A handwritten signature in black ink, appearing to read "Michael J. Berkowitz".

Michael J. Berkowitz  
Registration No. 39,607  
12th Floor, 7 Penn Center  
1635 Market Street  
Phila., PA 19103-2212  
(215) 567-2010

5/25, 2001



CERTIFICATE OF MAILING

I hereby certify that the foregoing REQUEST FOR REFUND UNDER 1027 O.G. 115, in duplicate, and the attached Verified Statements Establishing Small Entity Status, re application Serial No. 09/723,697 are being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, this 25 day of May, 2001.

A handwritten signature in black ink, appearing to read "Michael J. Berkowitz".

Michael J. Berkowitz



05-29-01

H-A

Sector \$ ✓

114/20006

PATENT

Applicant : YANG T. SHIEH  
For : **A COVERED ROLL HAVING AN UNDER-LAYER FORMED OF RESIN INFUSED DENSELY PACKED FIBERS THAT PROVIDES INCREASED STRENGTH AND ADHESION PROPERTIES**  
Serial No. : 09/723,697  
Filed : November 28, 2000  
Group Art Unit : 1733  
Confirmation No. : 7614  
Customer No. : 03000

This is a Response to Notice to File Missing Parts of Application which includes the following:

- a) Submission of Declaration for Patent Application and Verified Statements Claiming Small Entity Status, in duplicate;
- b) Copy of Notice to File Missing Parts;
- c) Declaration for patent application;
- d) Small Entity Status - Independent Inventor paper;
- e) Small Entity Status - Small Business Concern; and
- f) Request for Refund Under 1027 O.G. 115, in duplicate.

"Express Mail" Mailing Label No. EL 781453793 US  
Date of Deposit: May 25, 2001

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231



A1114/20006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Applicant : YANG T. SHIEH  
Serial No. : 09/723,697  
Filed : November 28, 2000  
Group Art Unit : 1733  
Confirmation No. : 7614  
Customer No. : 03000  
For : A COVERED ROLL HAVING AN UNDER-LAYER FORMED OF RESIN INFUSED DENSELY PACKED FIBERS THAT PROVIDES INCREASED STRENGTH AND ADHESION PROPERTIES

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**SUBMISSION OF DECLARATION FOR PATENT APPLICATION  
AND VERIFIED STATEMENTS CLAIMING SMALL ENTITY STATUS**

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Office letter dated March 26, 2001 (copy enclosed), Applicant encloses the following documents which should be placed in the file of this application:

- (1) Declaration for Patent Application;
- (2) Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) - Independent Inventor); and



- (3) Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c) - Small Business Concern.

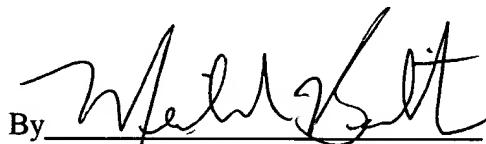
Since Applicant is filing the Declaration for this patent application, along with Verified Statements Claiming Small Entity Status, the United States Patent and Trademark Office is authorized to charge our Account No. 03-0075 the sum of Sixty-five Dollars (\$65.00) or any other fee as the surcharge appropriate herein. A duplicate copy of this document is enclosed herewith.

Also attached hereto, in duplicate, is the Request for Refund under 1027 O.G. 115. We understand that you will forward this to the proper department.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

Date 5/25/01

By 

Michael J. Berkowitz  
Registration No. 39,607  
12th Floor, 7 Penn Center  
1635 Market Street  
Phila., PA 19103-2212  
(215) 567-2010



CERTIFICATE OF MAILING

I hereby certify that the foregoing SUBMISSION OF DECLARATION FOR PATENT APPLICATION AND VERIFIED STATEMENTS CLAIMING SMALL ENTITY STATUS, in duplicate, and attached Declaration for Patent Application and Verified Statements Claiming Small Entity for the Independent Inventor and Small Business Concern, re application Serial No. 09/723,697 are being deposited with the United States Postal Service as Express Mail, in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, this 25th day of May, 2001.

Michael J. Berkowitz



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,697	11/28/2000	Yang T. Shieh	A1114/20006

CONFIRMATION NO. 7614

FORMALITIES LETTER



\*OC00000005904326\*

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.  
7 Penn Center - 12th Floor  
1635 Market Street  
Philadelphia, PA 19103-2212

Date Mailed: 03/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

RECEIVED  
MAR 30 2001

*Filing Date Granted*

CAESAR, RIVISE, BERNSTEIN  
COHEN & POKOTILOW, LTD.

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

*Subj C my*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/01/2001 ENGUEN1 00000002 030075 09723697

01 FC:205 65.00 CH

06/18/2001 NLUANG	00000001 030075	09723697
01 FC:201	355.00 CH	
02 FC:203	54.00 CH	